

OMB APPROVAL	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Kwan Rudolf</u>			2. Issuer Name and Ticker or Trading Symbol <u>Athenex, Inc. [ATNX]</u>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director _____ 10% Owner _____ Officer (give title below) _____ Other (specify below) _____ X <u>EVP, Chief Medical Officer</u>		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <u>08/26/2022</u>			6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person		
C/O ATHENEX, INC., 1001 MAIN STREET, SUITE 600			4. If Amendment, Date of Original Filed (Month/Day/Year)					
(Street) <u>BUFFALO NY 14203</u>								
(City) (State) (Zip)								

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
<u>Common Stock</u>	<u>08/26/2022</u>		<u>A</u>		<u>10,346⁽¹⁾</u>	<u>A</u>	<u>\$0.4736</u>	<u>310,819</u>	<u>D</u>	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
<u>Stock Option (Right to Buy)</u>	<u>\$4.55</u>							<u>01/02/2016</u>	<u>01/02/2023</u>	<u>Common Stock</u>	<u>96,000</u>	<u>96,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$4.55</u>							<u>05/13/2018</u>	<u>05/13/2023</u>	<u>Common Stock</u>	<u>48,000</u>	<u>48,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$4.55</u>							<u>02/12/2018</u>	<u>02/12/2024</u>	<u>Common Stock</u>	<u>120,000</u>	<u>120,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$4.55</u>							<u>06/12/2017</u>	<u>06/12/2024</u>	<u>Common Stock</u>	<u>48,000</u>	<u>48,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$5.5</u>							<u>12/16/2018</u>	<u>12/16/2024</u>	<u>Common Stock</u>	<u>200,000</u>	<u>200,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$7.5</u>							<u>05/22/2019</u>	<u>05/22/2025</u>	<u>Common Stock</u>	<u>120,000</u>	<u>120,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$11</u>							<u>06/13/2020</u>	<u>06/13/2027</u>	<u>Common Stock</u>	<u>140,000</u>	<u>140,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$17.3</u>							<u>03/27/2022</u>	<u>03/27/2028</u>	<u>Common Stock</u>	<u>120,000</u>	<u>120,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$13.17</u>							<u>(2)</u>	<u>02/28/2029</u>	<u>Common Stock</u>	<u>120,000</u>	<u>120,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$12.45</u>							<u>(3)</u>	<u>06/05/2030</u>	<u>Common Stock</u>	<u>140,000</u>	<u>140,000</u>	<u>D</u>	
<u>Stock Option (Right to Buy)</u>	<u>\$3.8</u>							<u>(4)</u>	<u>08/03/2031</u>	<u>Common Stock</u>	<u>70,000</u>	<u>70,000</u>	<u>D</u>	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)				6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
Restricted Stock Units	(5)							(6)	(6)	Common Stock	52,500	52,500	D	
Stock Option (Right to Buy)	\$0.6655							(7)	07/20/2032	Common Stock	75,000	75,000	D	

Explanation of Responses:

- Shares purchased from the Issuer under a Salary Deduction and Stock Purchase Agreement at the Nasdaq Official Closing Price on the date of purchase.
- This option vests in four equal annual installments beginning on February 28, 2020.
- This option vests in four equal annual installments beginning on June 5, 2021.
- The option vests in four equal annual installments beginning on August 3, 2022.
- Each restricted stock unit represents a contingent right to receive one share of stock.
- The restricted stock units vest in four equal annual installments beginning on August 3, 2022.
- This option vests in four equal annual installments beginning on July 20, 2023.

Remarks:

/s/ Steven Adams, Attorney-in-Fact 08/29/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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